

<b>FORM PTO-1449 U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)				<b>Attorney Docket Number</b> 5577-234		<b>Serial No.</b> To be Assigned  09/18/01 U.S. PRO 09/18/01 09/18/01	
				<b>Applicants:</b> Aiken, et al.			
				<b>Filing Date</b> Concurrently Herewith		<b>Group</b> Unknown	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	1	5,917,997	06/29/01	Bell et al.	395	182.02	
	2	5,923,854	07/13/99	Bell et al.	395	200.73	
	3	5,935,215	08/10/99	Bell et al.	709	239	
	4	5,951,650	09/14/99	Bell et al.	709	238	
<b>FOREIGN PATENT DOCUMENTS</b>							
						Translation Yes   No	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	5	U.S. Patent Application No.: 09/401,419, entitled, <i>Methods, Systems and Computer Program Products for Automated Movement of IP Addresses Within a Cluster</i> , filed September 22, 1999.					
	6	U.S. Patent Application No.: 09/640,412, entitled, <i>Methods, Systems and Computer Program Products for Non-Disruptively Transferring a Virtual Internet Protocol Address Between Communication Protocol Stacks</i> , filed August 17, 2000.					
	7	U.S. Patent Application No.: 09/640,409, entitled, <i>Methods, Systems and Computer Program Products for Cluster Workload Distribution</i> , filed August 17, 2000.					
	8	U.S. Patent Application No.: 09/640,438, entitled, <i>Methods, Systems and Computer Program Products for Failure Recovery of Virtual Internet Protocol Addresses</i> , filed August 17, 2000.					

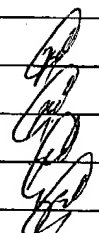
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<b>FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office</b>				<b>Attorney Docket Number: 5577-234</b>		<b>Serial No. 09/862,642</b>	
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)				<b>Applicants: Aiken et al.</b>			
				<b>Filing Date: May 22, 2001</b>		<b>Group: 2152</b>	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1	6,704,317 B1	3/9/04	Dobson	370	401	
	2	5,918,017	6/29/99	Attanasio et al.	395	200.54	
	3	5,812,771	9/22/98	Fee et al.	395	200.54	
	4	US2002/0166080A1	11/7/02	Attanasio et al.	714	15	
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							

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Substitute form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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Application Number

Complete if Known

09/862,642

Filing Date

May 22, 2001

First Named Inventor

John Andrew Aiken, Jr.

Group Art Unit

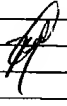
2152

Examiner Name

Attorney Docket Number

5577-234

**U.S. PATENTS AND PATENT PUBLICATIONS**

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1	US-5,341,477		Pitkin et al.	08-23-1994
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			

**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

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FORM PTO-1449 U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket Number  
5577-234Serial No.  
09'862.642

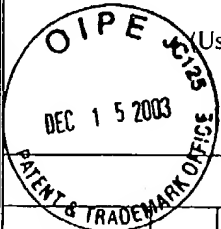
## LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

Applicants: Aiken Jr. et al.

Filing Date: May 22, 2001

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	1	5,796,936	8/18/98	Watabe et al.	395	182.09	
	2	5,828,847	10/27/98	Gehr et al.	395	200.30	
	3	5,946,686	8/31/99	Schmuck et al.	707	10	
	4	5,951,694	9/14/99	Choquier et al.	714	15	
	5	6,128,279	10/3/00	O'Neil et al.	370	229	
	6	6,317,786 B1	11/13/01	Yamane et al.	709	224	
	7	6,374,300 B2	4/16/02	Masters	709	202	
	8	6,430,622 B1	8/6/02	Aiken, Jr. et al.	709	245	
	9	6,542,926 B2	4/1/03	Zalewski et al.	709	213	
	10	6,587,866 B1	7/1/03	Modi et al.	709	105	
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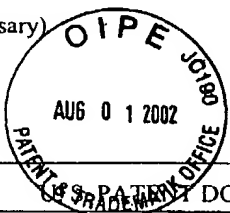

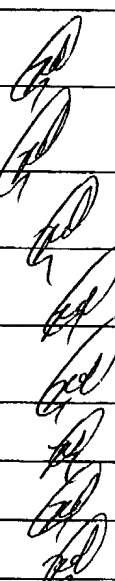
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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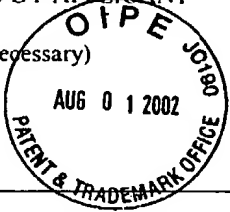
















<b>FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office</b>				<b>Attorney Docket Number</b> 5577-234		<b>Serial No.</b> 09/862,642	
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				<b>Applicants:</b> Aiken, Jr. et al.			
				<b>Filing Date:</b> May 22, 2001		<b>Group:</b> 2152	
<b>US PATENT DOCUMENTS</b>							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate <div style="writing-mode: vertical-rl; transform: rotate(180deg);">           RECEIVED AUG 05 2002 Technology Center 2100         </div>	
	1	4,403,286	09/06/83	Fry et al.	364	200	<div style="writing-mode: vertical-rl; transform: rotate(180deg);">           RECEIVED AUG 05 2002 Technology Center 2100         </div>
	2	4,495,570	01/22/85	Kitajima et al.	364	200	
	3	4,577,272	03/18/86	Ballew et al.	364	200	
	4	5,031,089	07/09/91	Liu et al.	364	200	
	5	5,563,878	10/8/96	Blakeley et al.	370	60	
	6	5,675,739	10/07/97	Eilert et al.	395	200	
	7	5,917,997	06/29/99	Bell et al.	395	182.02	
	8	5,923,854	07/13/99	Bell et al.	395	200.73	
	9	5,935,215	08/10/99	Bell et al.	709	239	
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Document Number	Date	Country	Class	Subclass	Translation Yes   No		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	11	A. Dahlin, et al. <i>EDDIE A Robust and Scalable Internet Server</i> . Ericsson Telecom AB, Stockholm, Sweden, pp. 1-7 (May 1998).					
	12	Brochure entitled, <i>ACEdirector™ 8-PORT 10/100 MBPS ETHERNET SWITCH</i> . Alteon WebSystems, San Jose, CA ( 1999)					
	13	Brochure entitled, <i>Enhancing Web User Experience with Global Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (June 1999).					
	14	Brochure entitled, <i>The Next Step in Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (November 1999).					
	15	Mac Devine. Presentation entitled, <i>TCP/IP Application Availability and Workload Balancing in the Parallel Sysplex</i> . Share Technical Conference (August 22-27, 1999).					
	16	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/FAF7001/1.3.1.2;1.3.1.2.5">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/FAF7001/1.3.1.2; 1.3.1.2.5</a> Virtual IP Addressing (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-4 (1998)					
	17	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/FAF7001/1.3.2;1.3.20">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/FAF7001/1.3.2; 1.3.20</a> DEVICE and LINK Statement—Virtual Devices (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-3 (1998)					
	18	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/FAF7001/1.3.2;1.3.23">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/FAF7001/1.3.2; 1.3.23</a> HOME Statement; Excerpt from IP Configuration for OS/390, pp. 1-6 (1998)					

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		Applicants: Aiken, Jr. et al. Technology Center 2100	
		Filing Date: May 22, 2001	Group: 2152
	19	Pai et al. <i>Locality-Aware Request Distribution in Cluster-based Network Servers</i> . Proceedings of the 8 <sup>th</sup> International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS VIII), San Jose, CA, October, 1998.	
	20	Aron et al. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." Proc. of the 2000 Annual Usenix Technical Conference, San Diego, CA, June 2000.	
	21	Aron et al. "Efficient Support for P-HTTP in Cluster-Based Web Servers." Proc. of 1999 Annual Usenix Technical Conference, Monterey, CA, June 1999.	
	22	Aron, Mohit. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." <a href="http://softlib.rice.edu/softlib/scalableRD.html">http://softlib.rice.edu/softlib/scalableRD.html</a> . Department of Computer Science, Rice University.	
	23	"Data Communications Awards Allot Communications 'Hot Product' in Internetworking/IP Tools Category." Press Bulletin. Los Gatos, CA, January 18, 1999.	
	24	"Allot Introduces Turnkey Next Generation IP Service and Creation Solution--the Virtual Bandwidth Manager." Press Bulletin. Atlanta, GA, SUPERCOMM 2000, Booth #8458, June 5, 2000.	
	25	"Allot Announces the General Availability of its Directory Services-Based NetPolicy™ Manager." Press Bulletin. Tel Aviv, Israel, February 28, 2000.	
	26	"Allot Communications Announces the Netenforcer Family of IP Traffic Management Products: Fault-Tolerant, Scaleable, Policy-Based Bandwidth Management, QOS, SLA Solutions." Press Bulletin. Burlingame, CA, December 13, 1999.	
	27	"Allot Communications Announces Business-Aware Network Policy Manager." Press Bulletin. Sophia Antipolis, France, September 20, 1999.	
	28	"Allot Communications Announces Directory Services Based Network Policy Manager." Press Bulletin. Los Gatos, CA, April 5, 1999	
	29	"Allot Communications Launches NetEnforcer with NetWizard, the Fastest Way to Implement Accurate and Reliable Network QoS Policies." Press Bulletin. Burlingame, CA, January 25, 2001	
	30	"Policy-Based Network Architecture." from <a href="http://www.allot.com">www.allot.com</a> pp. 1-11.	
	31	U.S. Patent Application No.: 09/401,419, entitled, <i>Methods, Systems and Computer Program Products for Automated Movement of IP Addresses Within a Cluster</i> , filed September 22, 1999.	
	32	U.S. Patent Application No.: 09/640,412, entitled, <i>Methods, Systems and Computer Program Products for Non-Disruptively Transferring a Virtual Internet Protocol Address Between Communication Protocol Stacks</i> , filed August 17, 2000.	
	33	U.S. Patent Application No.: 09/640,409, entitled, <i>Methods, Systems and Computer Program Products for Cluster Workload Distribution</i> , filed August 17, 2000.	
	34	U.S. Patent Application No.: 09/640,438, entitled, <i>Methods, Systems and Computer Program Products for Failure Recovery of Virtual Internet Protocol Addresses</i> , filed August 17, 2000.	

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